

Letters

A Spherical Harmonic Analysis of the Earth's Topography¹

W. H. K. LEE AND W. M. KAULA

*Institute of Geophysics and Planetary Physics
University of California, Los Angeles*

In 1964–1965 estimates were made of the percentage of land, average land elevation, and average ocean depth in each $1^\circ \times 1^\circ$ square and of percentages of lake and ice and average lake depth and ice thickness in each $5^\circ \times 5^\circ$ square. These estimates were made visually, and the following maps were used:

1. Chart of the World, No. 15254, 2nd edition, 1961, U. S. Naval Oceanographic Office, scale 1:12,000,000 at the equator, Mercator projection; main contour interval, 500 fathoms.

2. The World, Series 1101, 9th edition, 1964, U. S. Army Map Service, scale 1:11,000,000 approximately, Mercator projection; main contour interval, 1000 meters.

3. Arktika (Arctic), 1960, Main Administration in Geodesy and Cartography of the U.S.S.R. Geological Committee, scale 1:8,000,000, polar projection; main contour interval, 1000 meters.

4. Antarctica, 1962, American Geographical Society, New York, scale 1:3,000,000, polar projection; main contour interval, 1000 meters.

In some areas of rough topography these maps were supplemented by larger-scale maps.

The estimates of percentages and elevations for $1^\circ \times 1^\circ$ squares were made independently by two assistants and were rechecked when percentage estimates disagreed by more than 10% or elevation estimates disagreed by more than 250 meters. Transcription and card punching of estimates were also duplicated, and computer check programs were used to minimize errors. These values for $1^\circ \times 1^\circ$ squares were averaged together to form the mean values for $5^\circ \times 5^\circ$ squares which were used for the later stages of the analysis.

Sources of error in the mean elevations are

(1) Survey error: errors in the original soundings over the oceans, or in the triangulation plus photogrammetry or barometry on the land.

(2) Error of representation: the error arising from insufficient survey data.

(3) Map compilation error: discrepancies between maps and survey data.

(4) Contour interpolation error: the error arising from deviation of the actual topographic slope from a straight line between adjacent contour lines.

(5) Estimation error: errors arising from the visual estimation procedure.

Two tests of the estimates against external standards were made. The first was to re-estimate mean elevations for 100 randomly selected $1^\circ \times 1^\circ$ squares on the largest-scale maps available. Since both large- and small-scale maps were ultimately based on the same survey data, this comparison was a test of errors (3), (4), and (5). The mean absolute difference between estimates was 232 meters for the entire 100 and 135 meters for the 33 best-surveyed. The second test was to re-estimate from a more recent map, the U.S.S.R. Geological Committee 1964 Pacific, scale 1:10,000,000; main contour interval 500 meters. Mean elevation for ten $5^\circ \times 5^\circ$ squares was estimated from this map in areas more than 5° distant from any bathymetry known to be used in the U. S. Naval Oceanographic Office chart 15254, 1961. Hence this comparison was a test mainly of error (2). The mean absolute difference between estimates was 207 meters, and the rms \pm 250 meters.

Of the five errors, (1), (3), and (5) should be negligible—(3) because at the scales used a $5^\circ \times 5^\circ$ square is of the order of a 4-cm

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TABLE 1. Spherical Harmonic Coefficients of Topography (in meters)

n = order of spherical harmonic; m = degree of spherical harmonic; s = total topography; o = oceanic topography; c = continental topography; r = equivalent rock topography; b = Bruins' total topography.

n	m	s		o		c		r		b	
		A_n^m	B_n^m								
0	0	-2300		-2520		220		-1340		-2367	
1	0	328		305		24		226		668	
1	1	777	538	686	438	91	100	508	361	594	400
2	0	339		272		67		214		526	
2	1	401	343	402	275	-1	68	243	246	344	344
2	2	-564	-140	-487	-186	-76	46	-371	-64	-432	-95
3	0	-110		-42		-68		-76		-144	
3	1	-78	158	-49	85	-29	73	-60	116	-156	94
3	2	-540	547	-421	487	-119	60	-376	358	-446	468
3	3	141	803	140	754	2	49	86	507	124	574
4	0	217		188		29		125		317	
4	1	-192	-191	-170	-119	-22	-72	-126	-131	-220	-232
4	2	-469	128	-339	120	-129	8	-334	84	-398	71
4	3	462	-105	420	-32	43	-73	298	-92	381	-139
4	4	-128	670	-142	575	14	95	-71	446	-56	482
5	0	-253		-184		-69		-169		-539	
5	1	-58	-44	-70	-41	13	-3	-35	-40	-47	-102
5	2	-76	-13	-32	-114	-44	-18	-69	-88	0	-142
5	3	207	-9	165	71	42	-80	140	-38	133	42
5	4	659	-20	563	34	96	-54	437	-34	520	-72
5	5	-90	363	-63	280	-27	82	-66	257	-48	210
6	0	77		34		42		52		229	
6	1	-20	-150	-4	-65	-16	-86	-19	-109	19	-141
6	2	-34	-123	-50	-110	16	-13	-11	-77	38	-146
6	3	107	217	93	244	15	-27	74	123	60	181
6	4	335	-223	244	-184	91	-39	241	-151	201	-179
6	5	-136	-260	-95	-281	-41	21	-101	-149	-123	-198
6	6	69	41	108	32	-39	10	27	30	32	27
7	0	-88		-88		-0		-48		-284	
7	1	27	106	-88	72	28	35	22	69	17	147
7	2	131	7	58	24	73	-18	103	-1	166	23
7	3	-58	45	-84	36	26	8	-29	31	-85	12
7	4	-236	12	-276	13	40	-1	-127	8	-263	32
7	5	2	-37	18	-85	-16	49	-7	-4	16	-12
7	6	-84	-155	-51	-148	-34	-6	-65	-98	-55	-108
7	7	-75	-145	-38	-99	-36	-47	-60	-107	-14	-94
8	0	-28		-49		21		-13		4	
8	1	-26	-26	-28	2	2	-29	-15	-15	0	-25
8	2	127	-2	95	21	32	-23	97	-6	131	36
8	3	23	48	14	26	10	21	24	41	38	54
8	4	-60	72	-60	78	0	-6	-34	40	-21	82
8	5	-96	-20	-69	-81	-27	61	-67	10	-72	3
8	6	116	61	128	46	-12	15	68	43	90	72
8	7	259	80	198	103	60	-23	185	41	165	38
8	8	-153	-212	-118	-184	-35	-27	-109	-140	-112	-133

square, much more than any reasonable plotting error or symbol displacement, and (5) because the average spacing of 500-fathom contour lines of about 2° in well-surveyed areas provides sufficient detail for the estimates. Some of (1), survey error, does exist

though, according to *Emery and Shekhvatov* [1966], but they conclude that the bathymetry is 'as good as can be expected.'

To obtain numerical estimates of (2), error of representation, and (4), contour interpolation error, in a manner similar to that applied to

gravimetry [Kaula, 1959], we require short-range autocovariance data. The sum of squares of column s in Table 5 through degree 18 gives $6.10 \times 10^6 \text{ m}^2$ as the variance $\langle (h - \bar{h})^2 \rangle$ for $10^\circ \times 10^\circ$ mean elevations; through degree 35, we get $6.44 \times 10^6 \text{ m}^2$ for $5^\circ \times 5^\circ$ means. If we assume linear change with distance for short-range covariance $\text{Cov}_s(h)$ of point elevations, using the method of Kaula [1959, pp. 63-64] we get for the autocovariance

$$\text{Cov}_s(h) = 6.76 \times 10^6 [1 - 0.01875s] \quad (1)$$

for s in degrees. A crude check is obtainable from the spacing of contour lines, since, for a distance d ,

$$E_d(\Delta h^2) = 2[\text{Var}(h) - \text{Cov}_d(h)] \quad (2)$$

The rms interval between 500-fathom (915-m) contours is about 3° , which, using 915 m for Δh and 6.76×10^6 for $\text{Var}(h)$ in (2), gives 6.34×10^6 for $\text{Cov}_s(h)$ compared with $6.38 \times 10^6 \text{ m}^2$ from (1); this is close enough. For the average mean square interpolation error of the mean elevation of a segment of length b between contours an interval d apart, we get, after some mundane algebra,

$$E\{\epsilon^2(h_s)\} = V_0 k(d - b)/3 \quad (3)$$

Using 6.76 for V_0 , 0.01875 for k , 3 for d , and 1 for b , we get ± 290 meters for the rms interpolation error of a 1° segment, which agrees well enough with the 232 meters for the mean error of the sample of $100 \ 1^\circ \times 1^\circ$ squares.

The average contour spacing will also influence the effect of interpolation errors in the $1^\circ \times 1^\circ$ means on the $5^\circ \times 5^\circ$ means because it will set the limit on significant correlation of errors. If we take the 3° spacing as effectively breaking up the $5^\circ \times 5^\circ$ square into four independent blocks, the effect of interpolation error on the $5^\circ \times 5^\circ$ mean elevations will be about ± 140 meters.

The mean square error of representation for an average spacing s between sounding tracks is tedious to calculate; let us assume that it will be about 0.7 times the error for point values of spacing s [Kaula, 1959, p. 135]. For the maximum spacing s of about 10° , the error of representation of $10^\circ \times 10^\circ$ means is about $0.7[6.76 - 6.10] \times 10^6 \approx 0.46 \times 10^6 \text{ m}^2$, or ± 680 meters. For a spacing s of about 5° it will be ± 470 meters. This error is comparable

TABLE 2. Spherical Harmonic Coefficients of the Ocean Function

n	m	Present Paper, $5^\circ \times 5^\circ$ squares		<i>Munk and MacDonald</i> [1960]	
		A_n^m	B_n^m	A_n^m	B_n^m
0	0	0.709		0.714	
1	0	-0.051		-0.123	
1	1	-0.144	-0.079	-0.108	-0.055
2	0	-0.040		-0.058	
2	1	-0.053	-0.068	-0.039	-0.061
2	2	0.051	0.002	0.077	-0.005
3	0	0.036		0.044	
3	1	0.035	-0.046	0.046	-0.039
3	2	0.074	-0.109	0.125	-0.179
3	3	-0.011	-0.122	-0.017	-0.252
4	0	-0.016		-0.026	
4	1	0.035	0.016	0.041	0.025
4	2	0.097	-0.040	0.175	-0.043
4	3	-0.060	-0.001	-0.144	0.007
4	4	0.033	-0.153	-0.069	-0.406
5	0	0.056		0.101	
5	1	0.001	0.008	-0.008	0.018
5	2	0.060	0.020	0.097	0.052
5	3	-0.039	-0.012	-0.107	-0.036
5	4	-0.118	0.027	-0.363	0.106
5	5	-0.002	-0.074	0.000	-0.257
6	0	-0.007		-0.033	
6	1	0.007	0.017	0.009	0.020
6	2	0.027	0.001	0.033	-0.006
6	3	-0.003	-0.032	0.002	-0.075
6	4	-0.050	-0.036	-0.110	0.091
6	5	0.028	0.027	0.110	0.115
6	6	-0.009	-0.024	-0.012	-0.078
7	0	0.025		0.051	
7	1	0.002	-0.025	-0.006	-0.035
7	2	-0.017	-0.006	-0.049	-0.002
7	3	0.010	-0.018	0.043	-0.032
7	4	0.026	-0.003	0.109	-0.026
7	5	-0.005	0.032	-0.021	0.119
7	6	0.007	0.039	0.031	0.163
7	7	0.013	0.049	0.043	0.224
8	0	0.012		0.010	
8	1	0.001	0.009	-0.002	0.027
8	2	-0.012	0.004	-0.020	0.024
8	3	-0.011	-0.015	-0.046	-0.027
8	4	0.002	-0.014	0.000	-0.052
8	5	0.024	0.006	0.116	-0.002
8	6	-0.014	-0.013	-0.073	-0.115
8	7	-0.047	-0.023	-0.243	-0.122
8	8	-0.025	0.037	-0.136	0.175

to the 250-meter rms discrepancy obtained in the comparison with the more recent and detailed U.S.S.R. maps, and so the assumed covariance appears to be on the pessimistic side.

For smaller spacings, the error of the 5° mean on the linear covariance assumption will be roughly $\pm 470s/5$ meters.

TABLE 3. RMS Variability of Topography

n = order of spherical harmonic; a = total topography by *Prey* [1922]; b = total topography by *Bruins*; s = total topography; o = oceanic topography; c = continental topography; r = equivalent rock topography; S, O, C, R correspond to s, o, c, r by multiplying $[n(n + 1)]^{1/2}$.

n	a	b	s	o	c	r	S	O	C	R
0	2456	2367	2300	2520	220	1340	0	0	0	0
1	1104	979	1000	869	137	663	1414	1229	194	937
2	676	841	854	764	131	554	2093	1871	320	1358
3	867	905	1140	1007	176	746	3948	3488	609	2585
4	722	875	1023	858	199	685	4577	3836	891	3062
5	564	815	842	698	187	573	4614	3824	1024	3136
6	260	509	599	531	156	396	3880	3442	1009	2563
7	173	481	397	381	131	255	2970	2851	983	1907
8	96	338	445	388	120	306	3778	3294	1016	2593
9	108	384	427	373	126	288	4047	3537	1198	2734
10	99	373	391	350	104	258	4103	3676	1090	2706
11	56	289	297	264	91	201	3409	3029	1051	2304
12	42	247	313	272	91	210	3910	3402	1140	2622
13	55	271	299	257	82	199	4028	3465	1099	2690
14	52	293	329	307	71	210	4766	4447	1030	3043
15	48	243	249	316	70	166	3864	3353	1092	2575
16	33	239	202	178	72	136	3325	2930	1182	2239
17		275	318	275	74	213	5556	4809	1290	3725
18		212	204	191	67	134	3764	3524	1241	2478
19		156	169	156	63	115	3293	3044	1227	2233
20		186	200	182	49	131	4104	3733	997	2691
21		192	164	139	62	115	3519	2998	1333	2466
22		175	167	146	66	116	3747	3291	1488	2610
23		143	166	136	61	118	3909	3202	1429	2766
24		163	164	151	57	108	4015	3694	1334	2644
25		156	177	165	49	116	4512	4218	1256	2969
26		186	154	142	60	105	4076	3774	1580	2771
27		135	143	125	53	101	3943	3434	1457	2764
28		135	126	115	40	84	3596	3272	1129	2396
29		127	137	135	42	88	4054	3973	1248	2604
30		156	123	112	51	85	3761	3402	1561	2596
31		101	120	105	51	85	3791	3317	1595	2679
32			120	109	47	84	3885	3540	1522	2724
33			116	104	39	79	3887	3485	1322	2652
34			124	112	50	85	4289	3871	1722	2927
35			114	96	44	81	4038	3421	1546	2871

The $5^\circ \times 5^\circ$ means were harmonically analyzed to obtain the coefficients A_n^m and B_n^m of real surface spherical harmonics Z_{nm} and Z_{nm} , normalized so that

$$\int_{\text{sphere}} \left\{ \frac{Z_{nm}^c}{Z_{nm}^s} \right\}^2 d\sigma = 4\pi \tag{4}$$

where

$$\left. \begin{matrix} Z_{nm}^c \\ Z_{nm}^s \end{matrix} \right\} = \left. \begin{matrix} \bar{P}_{nm}(\cos \theta) \\ \end{matrix} \right\} \begin{matrix} \cos m\lambda \\ \sin m\lambda \end{matrix} \tag{5}$$

\bar{P}_{nm} is the normalized Legendre associated function, θ is the colatitude, and λ is the longitude.

The analysis was carried to $n = 36$. The resulting cosine A_n^m and sine B_n^m coefficients up to degree 8 are given in Tables 1 and 2. Also calculated as a measure of contribution of different wavelengths is the rms variability of the topography by wave number n ,

$$\sigma_n = \left[\sum_{m=0}^n (A_n^m{}^2 + B_n^m{}^2) \right]^{1/2} \tag{6}$$

which is invariant under rotation. The resulting values are given in Table 3.

To estimate the errors in the normalized coefficients A_n^m, B_n^m , let us assume that they arise from (1) independent interpolation errors

TABLE 4. RMS Discrepancy in Spherical Harmonic Coefficients between Two Different Analyses

n	Total Topography (<i>Lee versus Bruins</i>)			Ocean Function (<i>Lee versus Munk and MacDonald</i>)		
	C_n m	ΔC_n m	$\Delta C_n/C_n$ %	C_n	ΔC_n	$\Delta C_n/C_n$ %
0	2300	67	2.9	0.709	0.005	0.7
1	576	237	41.1	0.099	0.048	48.5
2	381	107	28.1	0.048	0.016	33.3
3	430	106	24.7	0.072	0.059	81.9
4	342	88	25.7	0.067	0.099	148.
5	254	121	47.6	0.051	0.100	196.
6	166	64	38.6	0.025	0.055	220.
7	103	60	58.3	0.023	0.067	291.
8	108	38	35.2	0.020	0.079	395.

of ± 290 meters for $4\pi/(3\pi/180)^2 = 4580$ $3^\circ \times 3^\circ$ squares (not 3° latitude $\times 3^\circ$ longitude rectangles) and (2) independent errors of representation for squares of side length s corresponding to the spacing s of sounding tracks or other elevation control. Assuming 0.20 of the world to have spacing s of 10° , 0.20 a spacing of 5° , 0.20 a spacing of 2° , and 0.40 a spacing of 1° , we get for the standard deviation D of A_n^m or B_n^m

$$D \begin{Bmatrix} A_n^m \\ B_n^m \end{Bmatrix} = \left[\frac{290^2}{4580} + 0.20 \frac{680^2}{410} + \left\{ 0.20 + 0.20 \left(\frac{2}{5} \right)^2 + 0.40 \left(\frac{1}{5} \right)^2 \right\} \frac{470^2}{1640} \right]^{1/2} \quad (7)$$

$= \pm 17$ meters

TABLE 5. Mean Values from Various Analyses of the Earth's Topography*

	<i>Kossinna</i> [1933]	<i>Prey</i> [1921]	<i>Bruins</i> (Private Communica- tion)	<i>Munk and</i> <i>MacDonald</i> [1960]	Paper (1966)
Oceanic area, %	70.80	(70.8)	(70.8)	71.43	70.92
Continental area, %	29.20	(29.2)	(29.2)	28.57	29.08
Mean world elevation, meters	-2430	-2456	-2367		-2300
Mean land elevation, meters	875	771	801		756
Mean ocean depth, meters	-3800	-3787	-3674		-3554

* Values in parentheses are assumed.

Of the total D^2 , about 80% comes from the 0.20 part of the earth assumed to have a sounding-track spacing of 10° . The numerical values we have used may be too pessimistic, but in any case it seems clear that the dominant source of error is simply lack of data and that analyses using charts with scales of the order of 1:10,000,000 and contour intervals of the order of 500 to 1000 meters will suffice until most of the world is surveyed with spacing of 4° or better.

The most extensive previous analysis of the topography published is still that of *Prey* [1922; see also *Jung*, 1956]. In addition, there have been several unpublished analyses, of which probably the most detailed are those of G. J. Bruins [*Vening Meinesz*, 1962] and *Uotila* [1964], and there has been an analysis of the ocean function—1 over the oceans, 0 over the land—by *Munk and MacDonald* [1960].

If the analysis described herein is based on appreciably more detail than previous analyses, the effect should become apparent in an increase of the higher-degree rms variabilities σ_n in Table 3. This increase is markedly true with respect to the analysis of *Prey* [1922] but not with respect to that of Bruins. Bruins did use older data—mainly the Monaco *Carte générale bathymétrique des océans*, 1:10,000,000, which in many areas have fewer soundings. He also estimated point values at about 1° intervals of arc, rather than area means, but it seems very unlikely that this would cause any perceptible aliasing at wave numbers of 35 or lower, considering the amount of variability within 1° and the great numbers of such points. Other indices of comparison used were

$$C_n = \sigma_n / (2n + 1)^{1/2} \quad (8)$$

$$\Delta C_n = \left[\sum_{m=0}^n (\Delta A_n^{m*} + \Delta B_n^{m*}) / (2n + 1) \right]^{1/2} \quad (9)$$

Where ΔA_n^{m*} , ΔB_n^{m*} refer to the difference between two analyses. These values are given in Table 4 for $n \leq 8$. The ΔC_n seem unreasonably large relative to our estimate of the standard deviation D in (7).

The discrepancies of this analysis from that of *Munk and MacDonald* [1960] are much larger, as indicated in Tables 2 and 4—considerably larger than can be attributed to their using point values at 5° intervals. For the one term for which other estimates are available, the zero order, our value agrees more closely, as shown by Table 5.

Although the restriction of this analysis to charts already published entails the omission of considerable recent bathymetry, the results indicate that an appreciable improvement in accuracy and detail of description of the topography over previously published analyses has been attained. Further details, including $5^\circ \times 5^\circ$ mean values and comparisons with other analyses, are given by *Lee* [1966].

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